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5-28-03

1c971 U.S. PTO
10/098587
03/16/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	:	
	:	
Yoshiaki Tanaka et al.	:	
	:	
Serial No.: To be assigned	:	Art Unit: To be assigned
(Div. of 09/094,590)	:	
	:	
Filed: Concurrently Herewith	:	Examiner: To be assigned
	:	
For: ENCODING APPARATUS AND	:	Atty Docket: 20402/0646
DECODING APPARATUS FOR A	:	
DIGITAL VIDEO DISC	:	
	:	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

For ensuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

[] U.S. patent applications which the applicant considers to be related to the above-identified application are as follows:

[] A concise explanation of the relevance of the non-English language references is attached:

☐ The relevance of the references is indicated on the enclosed copy of the ____ Search Report for the priority application.

These documents are being submitted (check only one of the next four boxes):

☒ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);

☐ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);

☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, the fee box below **OR** one of the last two boxes must be checked);

OR

☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

FEE

☐ The Director is hereby authorized to charge the \$180.00 fee set forth in 37 CFR § 1.17(p) to Deposit Account No. 22-0185.

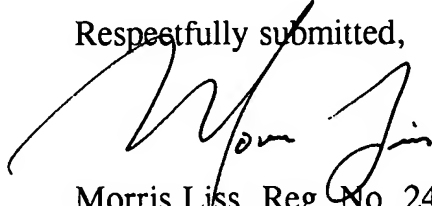
CERTIFICATION

☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.

☐ The undersigned certifies that no item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 22-0185. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Morris Liss", written over the typed name.

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Date: 3/18/02

FORM PTO-1449

INFORMATION DISCLOSURE CITATIONAtty Docket
20402/0646Serial No.
To be assignedApplicant
Yoshiaki Tanaka et al.Filing Date
Concurrently HerewithGroup Art Unit
To be assigned10/098587
U.S. PTO

03/16/02

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	5,250,747	10/1993	Tsumura			
	AB	5,902,115	05/1999	Katayama			
	AC	5,481,509	01/1996	Knowles			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR		
	AS		
	AT		

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 600. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).